

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket  
No.

P01,0121

First Named Inventor Application Identifier

Tony Albrecht et al,

Express Mail Label No: # EJ077704690US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 39]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 14]  
3. ☒ Declaration and Power of Attorney [Total Pages 2]

a. ☐ Newly executed (Original copy)

b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☒ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
9. ☐ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity Status (37 CFR 1.27)  
12. ☐ Certified Copy of 2 Priority Document(s) German  
Application No. 100 26 734.3 filed May 30, 2000 and  
No. 101 18 079.4 filed February 20, 2001  
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: /

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	29	9	\$18.00	\$162.00
INDEPENDENT CLAIMS 3	3			
ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO				
			TOTAL FILING FEE ->	\$872.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 872.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

SCHIFF HARDIN & WAITE

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DATE: April 2, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

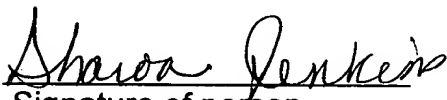
"Express Mail" Mailing Label Number **EJ 077704690 US**

Date of Deposit: April 2, 2001



I hereby certify that the following is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Proposed Patent Application for TONY ALBRECHT, NORBERT LIDER and JOHANN LUFT entitled "OPTICALLY PUMPED, SURFACE-EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR THE MANUFACTURE THEREOF" consisting of specification, claims, 14 sheets of drawings, Attorney Docket No. P01,0121

A handwritten signature in cursive script, appearing to read "Sharon Penke".

Signature of person  
mailing application

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Name of person mailing  
application

### A Partnership Including Professional Corporation

Chicago  
Washington  
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Dublin

~~James D. Hobart~~ (Reg. No. 24,149)  
~~Attorney for Applicant~~